



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KIM et al.

Serial No. 09/840,799

Filed: April 25, 2001

For: CROSSLINKED, WATER-SOLUBLE OR WATER DISPERSIBLE  
POLYURETHANES

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on August 3, 2001

Date of Deposit

Monica K. Sims

Person Making Deposit

Signature

August 3, 2001

Date of Signature

RESPONSE TO ERRONEOUSLY ISSUED  
NOTICE OF INCOMPLETE REPLY AND  
PETITION TO ACCORD FILING DATE

Hon. Commissioner of Patents  
& Trademarks  
Washington, D.C. 20231

Sir:

In response to the notice of incomplete reply dated July 23, 2001, attached is an exact duplication of the above-identified application as originally filed on April 25, 2001. This application was initially filed on 8½ X 11 paper and is being resubmitted on the 8½ x 11 paper making it in compliance with 37 CFR 1.52. Applicants also submit that no drawings were filed in this divisional application nor in the parent application, U.S. Serial Number 09/303,426.

Petitioners respectfully submit that this Notice was erroneously issued by the U.S. Patent and Trademark Office, therefore no fee should be required and the above-



identified application should be accorded the filing date of April 25, 2001 and forwarded on for further prosecution.

Please charge any shortage in fees due in connection with the filing of this paper, including Extension of Time fees to Deposit Account No. 11.0345. Please credit any excess fees to such deposit account.

Entry is respectfully requested.

Respectfully submitted,

KEIL & WEINKAUF

Herbert B. Keil  
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Washington, D.C. 20036  
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HBK/mks



April 23 2001

KIM et al.

SN 09/303,426



Received: DIVISIONAL APPLICATION TRANSMITTAL  
PRELIMINARY AMENDMENT  
IDS + PTO FORM 1449  
SPECIFICATION/DECLARATION AS ORIGINALLY FILED  
CHECK FOR \$710.00

PLEASE HOLD FOR SN & FILING DATE



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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,799	04/25/2001	Son Nguyen Kim	49024 DIV

CONFIRMATION NO. 5132

## FORMALITIES LETTER



\*OC00000006326422\*

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Date Mailed: 07/23/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - all do not comply with the size requirements (8 ½ by 11 inches or 21.0 by 29.7 cm). *The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY